Home and Community-Based 1915(c) Waivers and Concurrent 1915(b) Managed Care Waivers for Respite Support

Medicaid is a program in every state that pays for medical assistance for certain individuals and families with low incomes and resources. It is the largest source of funding for medical and health-related services for America’s poorest people. Because Medicaid is funded jointly by the federal and state governments, the services paid for vary from state to state. In addition to medical services, Medicaid will pay for home and community-based services (HCBS) through the state plan as well as through Medicaid waivers that offer services as a lower-cost alternative to treatment in a medical or long-term care facility, such as a nursing home. Respite is usually paid for through one or more of the following types of waivers: research and demonstration (1115), managed care (1915b) and HCBS (1915c) waiver programs. This state’s Medicaid waivers that include respite are:

**CT Acquired Brain Injury-ABI Waiver I and II**

Provides case management, homemaker, personal care, prevocational, respite, supported employment, ABI group day, chore, cognitive behavioral programs, community living support, companion, environmental accessibility adaptation, home delivered meals, independent living skill training, PERS (personal emergency response systems), specialized medical equipment and supplies, substance abuse programs, transitional living, transportation, and vehicle modifications for individuals 18 years and older with a brain injury. New enrollment in CT ABI stopped in 2014 with 12 slots added each year to CT ABI Waiver II for new CT ABI I participants.

**CT Acquired Brain Injury-ABI Waiver II**

Provides ABI group day, adult day health, case management, homemaker, personal care, prevocational, respite, supported employment, ABI recovery assistant II, ABI recovery assistant, chore, cognitive behavioral programs, community living support, companion, consultation, environmental accessibility modifications, home delivered meals, independent living skills training, PERS (personal emergency response systems), specialized medical equipment and supplies, substance abuse programs, transportation, vehicle modification for individuals 18 years and older diagnosed with a brain injury.

**CT Employment and Day Supports**

Provides adult day health, community-based day support options, respite, supported employment, independent support broker, peer support, assistive technology, behavioral support, individual goods and services, individualized day support, interpreter, specialized medical equipment and supplies, and transportation for individuals 18 years and older diagnosed with a developmental disability and individuals 3 years and older diagnosed with an intellectual disability.

**CT Individual and Family Support**

Provides adult day health, community companion homes (formerly community training homes), group day supports, individual supported employment (formerly supported employment), live-in companion, prevocational services, respite, independent support broker, assistive technology, behavioral support, companion supports (formerly adult companion), continuous residential supports, environmental modifications, group supported employment (formerly supported employment), health care coordination, individualized day supports, individualized home supports, individually directed goods and services, interpreter, nutrition, parenting support, peer support, PERS (personal emergency response systems), personal support, senior supports, shared living, specialized medical equipment and supplies, training and counseling services for unpaid caregivers, transportation, and vehicle modifications for individuals 18 years and older diagnosed with a developmental disability and individuals 3 years and older diagnosed with an intellectual disability.
CT Home and Community Supports Waiver for Persons with Autism

Provides community companion homes, live-in companion, respite, assistive technology, clinical behavioral support, community mentor, individuals good and services, interpreter, job coaching, life skills coach, non-medical transportation, PERS (personal emergency response systems), social skills group, and specialized driving assessment for individuals ages 3 and older diagnosed with autism.

CT Comprehensive Supports

Provides adult day health, community companion homes/community living arrangements, group day supports, live-in caregiver, prevocational, respite, supported employment, independent support broker, adult companion, assisted living, assistive technology, behavioral support, continuous residential supports, environmental modifications, health care coordination, individual goods and services, individualized day supports, individualized home supports, interpreter, nutrition, parenting support, PERS (personal emergency response systems), personal support, senior supports, shared living, specialized medical equipment and supplies, training and counseling services for unpaid caregivers, transportation, and vehicle modifications for individuals 18 years and older diagnosed with a developmental disability and individuals 3 years and older diagnosed with an intellectual disability.

CT Home Care Program for Elders

Provides case management, homemaker, adult family living/Foster Care, companion, chore, adult day health, personal emergency response systems, personal care (agency based), assistive technology, respite, transportation, home delivered meals, mental health counseling, personal care assistant, and environmental accessibility adaptations. Personal care assistant will be available as a fully self-directed model or as agency with choice, thus giving the participants more options for care for individuals 65 years and older.
### Acquired Brain Injury Waiver I & Waiver II (0302.R04.00) & (1085.R00.00)

**State Operating Agency:** Department of Social Services, Social Work Services Division

**Description**

There are two ABI waiver programs, known as ABI Waiver I and ABI Waiver II. Both employ the principles of person-centered planning to develop an adequate, appropriate and cost-effective plan of care of home and community-based services to achieve personal outcomes that support the individual’s ability to live in the community. The waivers are designed to assist participants to relearn, improve, or retain the skills needed to support community living.

Together, these waivers provide the following supports and services: case management, homemaker, personal care, prevocational, respite, supported employment, ABI group day, chore, cognitive behavioral programs, community living support, companion, environmental accessibility adaptation, home delivered meals, independent living skill training, PERS (personal emergency response systems), specialized medical equipment and supplies, substance abuse programs, transitional living, transportation, vehicle modifications, adult day health, ABI recovery assistant I & II, and consultation.

<table>
<thead>
<tr>
<th>Waiver Type</th>
<th>1915(c)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Population-Eligibility</strong></td>
<td>Individuals 18 years and older diagnosed with an acquired brain injury</td>
</tr>
<tr>
<td><strong>Level of Care</strong></td>
<td>Individuals require level of care available in a hospital, nursing facility (NF), or Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID).</td>
</tr>
<tr>
<td><strong>Respite Services</strong></td>
<td>Respite services are provided to persons unable to care for themselves when the primary caregiver is absent or in need of relief. The services are furnished on a short-term basis only in the individual’s home or place of residence.</td>
</tr>
<tr>
<td><strong>Respite Provider Eligibility</strong></td>
<td>Information on becoming a provider of services: <a href="https://www.ctdssmap.com/CTPortal/Provider/ProviderEnrollment/tabid/47/Default.aspx">https://www.ctdssmap.com/CTPortal/Provider/ProviderEnrollment/tabid/47/Default.aspx</a></td>
</tr>
<tr>
<td><strong>Caregiver Eligibility</strong></td>
<td>Waiver I: Respite may not be provided by relatives, legal guardians, or legally responsible persons. Waiver II: Respite may be provided by a relative, but not by legal guardians, or legally responsible persons. Family members must meet the same qualifications as unrelated providers.</td>
</tr>
<tr>
<td><strong>Enrollment Limit</strong></td>
<td>454: Year 1; 442: Year 2; 430: Year 3; 418: Year 4; 406: Year ending 12/31/2021 --ABI Waiver I 405: Year ending 12/01/2019-- ABI Waiver II (8 slots will be added each year in Waiver II to compensate for the attrition from ABI Waiver I)</td>
</tr>
<tr>
<td><strong>Expiration Date</strong></td>
<td>12/31/2021 (ABI Waiver I) 11/30/2019 (ABI Waiver II); renewal application submitted and pending</td>
</tr>
<tr>
<td><strong>Date of Last Update</strong></td>
<td>07/14/2019</td>
</tr>
</tbody>
</table>
### Description
This waiver is designed to support individuals who live with family or in their own homes and have a strong natural support system. There is a focus on young adults who are transitioning from school to work. The waiver includes the following services: adult day health, community-based day support options, respite, supported employment, independent support broker, peer support, assistive technology, behavioral support, individual goods and services, individualized day support, interpreter, specialized medical equipment and supplies, and transportation.

This waiver includes traditional service-delivery and participant-directed options. Participants may use their allocated funds in three ways:
- Self-direction whereby funds are used to self-manage services;
- Use funds to obtain services under a rate-based system from a qualified service provider;
- Use funds to obtain services from a qualified service provider through a Purchase of Service contract.

### Waiver Type
1915(c)

### Target Population-Eligibility
Individuals 18 years and older diagnosed with a developmental disability and individuals 3 years and older diagnosed with an intellectual disability

### Level of Care
Individuals require level of care available in an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID).

### Respite Services
Respite is provided to individuals unable to care for themselves, furnished on a short-term basis because of the absence or need for relief of those persons normally providing the care.
Federal financial participation (FFP) will not be claimed for the cost of room and board except when provided as part of respite care furnished in a facility approved by the State that is not a private residence. Respite may be provided in these location(s): individual’s home or place of residence; DDS (Department of Developmental Services) certified respite care facility; DPH (Department of Public Health) certified residential camp program. Respite services may not be provided at the same time as Community Day Support Options, Adult Day Health, Individualized Day, or Supported Employment.
Respite is limited to a maximum of 14 days per year. The per diem rate is utilized when the respite is provided for 13 or more hours in a 24-hour period.

### Respite Provider Eligibility

### Caregiver Eligibility
Respite cannot be provided by legally responsible persons, a relative, or a legal guardian.

### Enrollment Limit
1350: Year 1; 1550: Year 2; 1750: Year 3; 1950: Year 4; 2150: Year ending 04/01/2021

### How to Apply
HelpLine staff will assist you to apply for appropriate community resources and services. Each DDS region has a HelpLine to assist families to access services.

### Contact Information
North Region: HelpLine (877) 437-4577. Email: [dds.nr.ifshelpline@ct.gov](mailto:dds.nr.ifshelpline@ct.gov)
South Region: HelpLine (877) 437-4567. Email: [dds.sr.ifshelpline@ct.gov](mailto:dds.sr.ifshelpline@ct.gov)
West Region: HelpLine (877) 491-2720. Email: [dds.wr.ifshelpline@ct.gov](mailto:dds.wr.ifshelpline@ct.gov)

### Link to Waiver Application
Link at Medicaid.gov (go down to “Approved Application(s) and Related Documents”): [https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8682](https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8682)

### Expiration Date
03/31/2021

### Last Update
07/14/2019
**Description**
This waiver provides services for eligible children and adults who live in a family home or one’s own home to live safe and productive lives; to support and encourage consumer-direction to maximize choice, control and efficient use of resources; and to serve more individuals through individualized and non-licensed service options. It provides adult day health, community companion homes (formerly community training homes), group day supports, individual supported employment, live-in companion, prevocational services, respite, independent support broker, assistive technology, behavioral support, companion supports (formerly adult companion), continuous residential supports, environmental modifications, group supported employment, health care coordination, individualized day supports, individualized home supports, individually directed goods and services, interpreter, nutrition, parenting support, peer support, PERS (personal emergency response systems), personal support, senior supports, shared living, specialized medical equipment and supplies, training and counseling services for unpaid caregivers, transportation, and vehicle modifications.

**Waiver Type** 1915(c)

**Target Population-Eligibility**
Individuals 18 years and older diagnosed with a developmental disability and individuals 3 years and older diagnosed with an intellectual disability

**Level of Care** Individuals require level of care available in an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID).

**Respite Services** Respite is provided to individuals unable to care for themselves, furnished on a short-term basis because of the absence or need for relief of those persons normally providing the care. Respite may be provided in these locations: individual’s home or place of residence; DDS certified respite care facility; or DDS certified residential camp program. Respite services may not be provided at the same time as adult day health, community companion home, group day, live-in companion, prevocational services, supported employment, companion supports, individualized home supports, parenting support, senior supports, individualized day supports or continuous residential support.

This service is not available to individuals who receive continuous residential supports. Respite may be provided for up to 30 consecutive days. Respite services beyond 30 consecutive days will require approval from DDS (Department of Developmental Services).


**Caregiver Eligibility**
Respite can be provided by a relative but not by legal guardians or legally responsible persons.

**Enrollment Limit** 4500: Year ending 01/31/2023

**How to Apply** HelpLine staff will assist you to apply for appropriate community resources and services. Each DDS region has a HelpLine to assist families to access services.

**Contact Information**
North Region: HelpLine (877) 437-4577. Email: dds.nr.ifshelpline@ct.gov
South Region: HelpLine (877) 437-4567. Email: dds.sr.ifshelpline@ct.gov
West Region: HelpLine (877) 491-2720. Email: dds.wr.ifshelpline@ct.gov

**Link to Waiver Application**
Link at Medicaid.gov (go down to “Approved Application(s) and Related Documents”): [https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8683](https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8683)

**Expiration Date** 01/31/2023

**Date of Last Update** 07/14/2019
**CT Home and Community Supports Waiver for Persons with Autism (0993.R01.00)**

**State Operating Agency: Department of Developmental Services (DDS)**

<table>
<thead>
<tr>
<th>Description</th>
<th>This waiver serves persons with a diagnosis of autism spectrum disorder who live in a family or caregiver’s home, one’s own home or a Community Companion Home (formerly Community Training Home). Although these individuals will not have the diagnosis of mental retardation, they have substantial functional limitations which negatively impact their ability to live independently. These individuals and their caregivers need flexible and necessary supports and services to live safe and productive lives. This waiver will support and encourage the use of consumer-direction to maximize choice as well as control and efficiently use state and federal resources. This waiver includes: community companion homes, live-in companion, respite, assistive technology, clinical behavioral support, community mentor, individuals good and services, interpreter, job coaching, life skills coach, non-medical transportation, PERS (personal emergency response systems), social skills group, and specialized driving assessment.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Waiver Type</td>
<td>1915(c).</td>
</tr>
<tr>
<td>Target Population-Eligibility</td>
<td>Individuals, ages 3 and older, diagnosed with a primary diagnosis of an Autism Spectrum Disorder, who live in a family or caregiver’s or one’s own home</td>
</tr>
<tr>
<td>Level of Care</td>
<td>Individuals require level of care available in an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID).</td>
</tr>
<tr>
<td>Respite Services</td>
<td>Respite services are provided to individuals unable to care for themselves and are furnished on a short-term basis in the absence of or for needed relief of those persons normally providing the care. Federal financial participation (FFP) will not be claimed for the cost of room and board except when provided as part of respite care furnished in an approved facility that is not a private residence. Respite may be provided for up to 30 consecutive days. Respite services beyond 30 consecutive days will require review and prior approval from DDS.</td>
</tr>
<tr>
<td>Respite Provider Eligibility</td>
<td>Information on becoming a provider: <a href="http://www.ct.gov/dds/cwp/view.asp?a=3166&amp;q=391058">http://www.ct.gov/dds/cwp/view.asp?a=3166&amp;q=391058</a> Note: A major change in this waiver is a new requirement to have all waiver providers enroll with and directly bill the state’s MMIS. Services will be authorized through a claims portal and providers’ bills will be checked against the available authorization.</td>
</tr>
<tr>
<td>Caregiver Eligibility</td>
<td>Respite cannot be provided by a legally responsible person but can be provided by a relative or a legal guardian who does not live in the participant’s house.</td>
</tr>
<tr>
<td>Enrollment Limit</td>
<td>104: Year 1; 114: Year 2; 124: Year 3; 134: Year 4; 144: Year ending 12/31/2022</td>
</tr>
<tr>
<td>How to Apply</td>
<td>HelpLine staff will assist you to apply for appropriate community resources and services. Each DDS region has a HelpLine to assist families to access services. Applications are available at <a href="http://www.ct.gov/dds/cwp/view.asp?a=2039&amp;q=533014">http://www.ct.gov/dds/cwp/view.asp?a=2039&amp;q=533014</a></td>
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<td>Contact Information</td>
<td>North Region: HelpLine (877) 437-4577. Email: <a href="mailto:dds.nr.ifshelpline@ct.gov">dds.nr.ifshelpline@ct.gov</a> South Region: HelpLine (877) 437-4567. Email: <a href="mailto:dds.sr.ifshelpline@ct.gov">dds.sr.ifshelpline@ct.gov</a> West Region: HelpLine (877) 491-2720. Email: <a href="mailto:dds.wr.ifshelpline@ct.gov">dds.wr.ifshelpline@ct.gov</a></td>
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<td>Link to Waiver Application</td>
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</tr>
<tr>
<td>Expiration Date</td>
<td>12/31/2022</td>
</tr>
<tr>
<td>Date of Last Update</td>
<td>07/14/2019</td>
</tr>
</tbody>
</table>
CT Comprehensive Supports Waiver (0437.R03.00)
State Operating Agency: Department of Developmental Disabilities (DDS)

Description
This waiver provides services for eligible children and adults living in a family home or one's own home to live safe and productive lives; to support and encourage consumer-direction to maximize choice, control efficient use of resources; and serve an increased number of individuals through individualized and non-licensed service options. The waiver provides adult day health, community companion homes/community living arrangements, group day supports, live-in caregiver, prevocational, respite, supported employment, independent support broker, adult companion, assisted living, assistive technology, behavioral support, continuous residential supports, environmental modifications, health care coordination, individual goods and services, individualized day supports, individualized home supports, interpreter, nutrition, parenting support, personal emergency response systems, peer support, personal support, senior supports, shared living, specialized medical equipment and supplies, training and counseling services for unpaid caregivers, transportation, and vehicle modifications. Blended supports, customized employment and transitional supports are new waiver services.

Waiver Type
1915(c)

Target Population-Eligibility
Individuals 18 years and older diagnosed with a developmental disability and individuals 3 years and older diagnosed with an intellectual disability

Level of Care
Individuals require level of care available in an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID).

Respite Services
Respite services are provided to individuals unable to care for themselves and are furnished on a short-term basis because of the absence or need for relief of those persons normally providing the care. Federal financial participation (FFP) will not be claimed for the cost of room and board except when provided as part of respite care furnished in an approved facility that is not a private residence. Respite care may be provided in the following location(s): individual's home or place of residence; DDS certified respite care facility; DDS certified residential camp program. Respite services may not be provided at the same time as adult day health, community companion home, group day, live-in companion, prevocational services, supported employment, companion supports, individualized home supports, parenting support, senior supports, individualized day supports, or continuous residential support. Respite may be provided for up to 30 consecutive days. Respite services beyond 30 consecutive days will require approval from DDS. This service is generally limited to no more than 8 hours per day or 40 hours per 7-day week. A prior approval may be issued for additional hours and documented in the Individual Plan. This service is not available to individuals who receive Continuous Residential Supports.

Respite Provider Eligibility

Caregiver Eligibility
Respite can be provided by a relative but not a legal guardian or legally responsible persons.

Enrollment Limit
5600: Year 1; 5625: Year 2; 5650: Year 3; 5675: Year 4; 5700: Year ending 10/01/2023

How to Apply
HelpLine staff will assist you to apply for appropriate community resources and services. Each DDS region has a HelpLine to assist families to access services.

Contact Information
North Region: HelpLine (877) 437-4577. Email: dds.nr.ifshelpline@ct.gov
South Region: HelpLine (877) 437-4567. Email: dds.sr.ifshelpline@ct.gov
West Region: HelpLine (877) 491-2720. Email: dds.wr.ifshelpline@ct.gov

Link to Waiver Application
Link at Medicaid.gov (go down to “Approved Application(s) and Related Documents”): [https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8698](https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8698)

Expiration Date
09/30/2023

Date of Last Update
07/14/2019

Medicaid Waivers for Respite Support – 2019
ARCH National Respite Network and Resource Center | archrespite.org
| Description | This waiver provides: Case Management, Homemaker, Adult Family Living/Foster Care, Companion, Chore, Adult Day Health, Personal Emergency Response Systems, Personal Care (Agency-based), Assistive Technology, Respite, Transportation, Home Delivered Meals, Mental Health Counseling, Personal Care Assistant, and Environmental Accessibility Adaptations), Bill Payer Service, Recovery Assistant and Independent Support Broker. Personal Care Assistant will be available either as a fully self-directed model or as agency with choice. Support Broker also may be provided as a self-directed or agency-based service and will be available after the participant has utilized a $500 benefit under the 1915K state plan option. A tiered care management service, Care Transitions and Chronic Disease Self-Management Program (both are evidence-based programs). |
| Waiver Type | 1915(c) operates concurrently with 1915(b)(4) for selective contracting of the care management service |
| Target Population-Eligibility | Individuals 65 years and older |
| Level of Care | Individuals require level of care available in a nursing facility (NF). |
| Respite Services | Respite is provided to individuals unable to care for themselves, furnished on a short-term basis because of the absence or need for relief of those persons normally providing the care. In-home respite providers may include, but are not limited to, homemakers, companions or home health aides. Services may be provided in the home or outside of the home including, but not limited to, a licensed or certified facility, such as a rest home with nursing supervision or chronic and convalescent nursing home. Federal financial participation (FFP) is not claimed for the cost of room and board except when provided as part of respite care furnished in a facility approved by the State that is not a private residence. Respite services provided in a licensed facility are limited to 30 days per calendar year per recipient. In home respite services are limited to 720 hours per year per recipient. |
| Respite Provider Eligibility | Information on becoming a provider of services: [https://www.ctdssmap.com/CTPortal/Provider/ProviderEnrollment/tabid/47/Default.aspx](https://www.ctdssmap.com/CTPortal/Provider/ProviderEnrollment/tabid/47/Default.aspx) |
| Caregiver Eligibility | Respite cannot be provided by a legally responsible person, a relative, nor a legal guardian. |
| Enrollment Limit | 15,549: Year 1; 16,493: Year 2; 17,354: Year 3; 18,136: Year 4; 18,858: Year ending 06/30/2020 |
| How to Apply | Apply online at the link below. For more information, or to start the application process call 1-800-445-5394 (toll-free) or 860-424-4904 locally in the Hartford area and select option 4. See this link for guidelines: [https://www.ascendami.com/CTHomeCareForElders/default/WavierDescription.aspx](https://www.ascendami.com/CTHomeCareForElders/default/WavierDescription.aspx) Apply online: [https://www.ascendami.com/CTHomeCareForElders/default/](https://www.ascendami.com/CTHomeCareForElders/default/) |
| Contact Information | Department of Social Services, Home and Community-based Services Unit, (800) 445-5394 or visit: [http://www.ct.gov/dss/cwp/view.asp?a=2353&q=305170](http://www.ct.gov/dss/cwp/view.asp?a=2353&q=305170) Department of Social Services, Client Information Line and Benefit Center, (855) 626-6632 or visit: [www.connect.ct.gov](http://www.connect.ct.gov) |
| Link to Waiver Application | Link at Medicaid.gov (go down to “Approved Application(s) and Related Documents”): [https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8681](https://www.medicaid.gov/medicaid/section-1115-demo/demonstration-and-waiver-list/?entry=8681) |
| Expiration Date | 06/30/2020 |
| Date of Last Update | 07/14/2019 |